Uncharted territory

Knowledge translation of competency-based continuing professional development in family medicine

Simon Kitto Phd Natalia Danilovich MD Phd Dianne Delva MD CCFP FCFP Jamie Meuser MD CCFP FCFP Justin Presseau PhD Jeremy Grimshaw MBChB PhD Paul Hendry MD MSc FRCSC

Knowing is not enough; we must apply. Willing is not enough; we must do.

Goethe

uch of the recent discussion around CanMEDS-Family Medicine (CanMEDS-FM) competencybased curriculum design and development focuses on residents: how to implement and evaluate CanMEDS roles in family medicine residency programs.¹⁻⁶ To our knowledge there are no scholarly studies examining how family physicians demonstrate the CanMEDS-FM competencies on a day-to-day basis in the workplace. In short, we suggest that family medicine has yet to systematically generate any evidence of actual assessments of family physicians' performance within the context of the CanMEDS framework as part of continuing education. Further, family medicine has not developed or evaluated any best-practice implementation models and approaches to delivering the CanMEDSbased performance assessment once in practice. With the recent drive to create and implement competencybased medical education in continuing professional development (CPD) to improve the quality of care and patient safety, this lack of evidence could seriously hinder assessment and implementation practices well into the future. This is troubling given what is known about the importance of workplace-based assessments in which core competencies have to be articulated and subsequently measured across the learning continuum.7 We suggest that the paucity of literature assessing the CanMEDS-FM competencies of practising family physicians requires our close attention now, and that there are still many questions that need to be answered (Box 1) before the wave of implementation activities truly commence in earnest across Canada.

Evaluating CanMEDS competencies in medical practice

Designing, implementing, and maintaining workplace assessment, including tools for multisource feedback and audit and feedback, is pivotal for ensuring lifelong learning, enhancing the quality of doctors' performance, and identifying underperforming physicians.8-12 Further, the assessment of physicians' performance aids in achieving the highest possible standards of clinical practice, thus improving the performance of health care

organizations.^{9,13} Performance measures at the micro level (ie, individual clinician performance) integrated with performance measures at the meso level (ie, family practice context) reflect and affect the overall health system performance (ie, the macro level).8

In spite of this widely held assumption about the systemic interconnectedness of quality, there are concerns about how little attention is devoted to evaluative research, especially to the evaluation of competencies in medical practice, 14,15 even though there is a recognition that such competency frameworks are difficult to implement. 16,17 In addition, given the importance of workplace assessment, namely multisource feedback and audit and feedback, as a method of formative performance evaluation, which can be effective but varies widely in terms of improving professional practice and clinical behaviour, 9,10,18 there have been few attempts to use competency-oriented testing to assess the performance of practising physicians in an organized and systematic manner. 16,17 For example, recent evaluative studies focusing on the assessment of family physicians'

Box 1. Questions to consider

To understand the factors that enable or inhibit the use of CanMEDS-FM competency-based assessments in routine practice settings, the following questions need to be answered:

- What is the prevalence of competency-based assessments in Canadian family practices across the country?
- How can CanMEDS-FM roles be incorporated into or be the foundation for assessment strategies?
- · What factors facilitate or hinder the implementation of competency-based assessment systems in the family medicine workplace context?
- Are all CanMEDS-FM roles appreciated and valued in terms of physicians' approaches to CPD in family medicine?
- Are competency-based assessments in the workplace effective at altering family physicians' behaviour? What is the mechanism by which we expect a competencybased assessment to change their behaviour? How do we best measure clinical behaviour patterns here?
- What are the specific strategies involved in this type of assessment? Do those strategies have evidence for changing practice? Are there opportunities for incorporating other underused but potentially effective strategies based on evidence from other literature sources?

CanMEDS-FM-CanMEDS-Family Medicine, CPD—continuing professional development.

performance demonstrate assessment of competencies, but they are not explicitly within the context of the CanMEDS-FM framework. 19,20

In essence, we are concerned with the question "How does outcome-based education work, for whom, and in what circumstances?" which in itself is not new.21 But if we want to know how close we are to the goals of CanMEDS-FM competency-based medical practice and if we want to train physicians who deliver highquality health care, there is a time-limited opportunity for the education community to embrace the importance of evaluating its educational interventions across the entire learning continuum to include CPD.15,22 By using a variety of evaluation approaches, we suggest it would be important to address the following question: "Does competency-based medical education work and how, why, and when?" To do this, we need to turn to knowledge translation and implementation (KTI) research as a conceptual, methodologic, and evidence-based resource.

Focusing on practice change

The ultimate goal of CPD is to implement new clinical behaviour and to eliminate or de-implement outdated, non-evidence-based, and "low value" practices to improve patient outcomes.23 However, answering a key

question has proven to be a considerable challenge²⁴: "How do we go about changing the existing practice patterns of physicians, thereby providing high-quality care consistent with best evidence and reducing medical errors to a minimum?" Before we can determine how to successfully change behaviour, we must be able to measure physician behaviour in the clinical setting.8

There are a number of related fields that focus on practice change (eg, knowledge translation research, patient safety, and quality improvement). In this article, we use the term knowledge translation and implementation as an umbrella term to cover these fields. Monitoring professional behaviour in situ and providing physicians with detailed comparative feedback including clinical performance data has been shown to serve as a stimulus for changing their practices. 18 For example, several Cochrane reviews suggest that educational interventions (eg, educational outreach visits, educational meetings, printed educational materials), as well as audit and feedback and reminders, change professional behaviour, 10,25-27 but with considerable variability between trials.

Some have argued that substantial progress cannot be made until we have built a better theoretical foundation to understand the determinants of professional behaviour, 28,29 to clarify the hypothesized mechanisms of behaviour

change,30 and to promote a cumulative knowledge using shared language. There are now many theories borrowed from disciplines such as psychology, sociology, and organizational theory, as well as theories, models, and frameworks that have emerged from within implementation science to gain insights into the mechanisms by which implementation is more likely to change behaviour. 18,30-34 In spite of this, the medical education community has yet to effectively implement a knowledge translation approach to develop and deliver educational interventions that would successfully change clinical behaviour. Clearly, opportunities for novel approaches in CPD that incorporate and build on KTI need to be explored, as has been previously suggested,35-39 but which has yet to be acted upon in the domain of family medicine.

Conclusion

Continuing professional development and KTI science share a common goal: to improve medical and health professional practice and hence patient care and population health outcomes.^{39,40} However, the pathways to reach this goal differ,³⁹ most notably in terms of the much broader focus of KTI science. Knowledge-to-action models emphasize identifying barriers to desired practice (including but not limited to individual health care professional education needs) and tailoring interventions to addressing these barriers. Professional education is only one potential solution, addressing a specific set of potential barriers that are usually incomplete (eg, we need education, plus work process change, plus system change).

Given the fact that "knowledge to action" is complex and challenging, 40 closer collaboration among researchers in the areas of continuing education, knowledge translation, patient safety, and quality improvement appears desirable if not essential. 41,42 Integration of efforts across these 4 domains, as well as efforts within stakeholder organizations responsible for each level of medical training and practice, should aim to develop, implement, and rigorously evaluate the implementation of more holistic and successful interdisciplinary interventions to improve professional performance and patient outcomes. 12,41-43 We suggest that implementing these kinds of interventions will result in more evidencebased and observable practice mirrored in changes in professional behaviour patterns and quality indicators.²⁴

As the CanMEDS framework is just beginning to be implemented, this is an exciting, albeit time-limited, opportunity to increase our efforts to see whether our interventions do result in changes in physician practice in the context of the drive to incorporate CanMEDS-FM competencies into CPD interventions among family physicians. However, we still need to answer many questions (Box 1) in order to have a complete understanding of the factors that enable and inhibit the use of CanMEDS-FM competency-based assessments in routine practice settings.

Dr Kitto is Full Professor in the Department of Innovation in Medical Education and Director of Research at the Office of Continuing Professional Development at the University of Ottawa in Ontario. Dr Danilovich is Research Associate in the Department of Innovation in Medical Education and the Office of Continuing Professional Development at the University of Ottawa. Dr Delva is Professor in and Chair of the Department of Family Medicine at the University of Ottawa. At the time of writing, Dr Meuser was Executive Director of Professional Development and Practice Support at the College of Family Physicians of Canada in Mississauga, Ont. Dr Presseau is a scientist for the Clinical Epidemiology Program at the Ottawa Hospital Research Institute and Assistant Professor in the School of Epidemiology and Public Health at the University of Ottawa. Dr Grimshaw is Senior Scientist at the Centre for Implementation Research at the Ottawa Hospital Research Institute, Full Professor in the Department of Medicine at the University of Ottawa, and Tier 1 Canada Research Chair in Health Knowledge Transfer and Uptake. Dr Hendry is Vice-Dean of Continuing Professional Development in the Faculty of Medicine at the University of Ottawa.

Competing interests

None declared

Correspondence

Dr Simon Kitto; e-mail skitto@uottawa.ca

The opinions expressed in commentaries are those of the authors. Publication does not imply endorsement by the College of Family Physicians of Canada.

References

- Donoff M, Lawrence K, Allen T, Brailovsky C, Crichton T, Bethune C, et al. Defining competency-based evaluation objectives in family medicine. Professionalism. Can Fam Physician 2012;58:e596-604. Available from: www.cfp.ca/content/ cfp/58/10/e596.full.pdf. Accessed 2018 Feb 7.
- 2. Wetmore S, Laughlin T, Lawrence K, Donoff M, Allen T, Brailovsky C, et al. Defining competency-based evaluation objectives in family medicine. Procedure skills. Can Fam Physician 2012:58:775-80.
- Tannenbaum D. Will the Triple C curriculum produce better family physicians? Yes [Debates]. Can Fam Physician 2012;58:1070, 1072 (Eng), 1074, 1076, 1078 (Fr).
- Pauls MA. Teaching and evaluation of ethics and professionalism. In Canadian family medicine residency programs. Can Fam Physician 2012;58:e751-6. Available from: www.cfp.ca/content/cfp/58/12/e751.full.pdf. Accessed 2018 Feb 7.
- 5. McEwen LA, Griffiths J, Schultz K. Developing and successfully implementing a competency-based portfolio assessment system in a postgraduate family medicine residency program. Acad Med 2015;90(11):1515-26.
- Schultz K, Griffiths J. Implementing competency-based medical education in a postgraduate family medicine residency training program: a stepwise approach, facilitating factors, and processes or steps that would have been helpful. Acad Med 2016;91(5):685-9.
- Nasca TJ, Philibert I, Brigham T, Flynn TC. The next GME accreditation system rationale and benefits. N Engl J Med 2012;366(11):1051-6. Epub 2012 Feb 22.
- Hawkins R, Roemheld-Hamm B, Ciccone A, Mee J, Tallia A. A multimethod study of needs for physician assessment: implications for education and regulation. J Contin Educ Health Prof 2009;29(4):220-34.
- Miller A. Archer I. Impact of workplace based assessment on doctors' education and performance: a systematic review. BMJ 2010;341:c5064.
- 10. Ivers N, Jamtvedt G, Flottorp S, Young JM, Odgaard-Jensen J, French SD, et al. Audit and feedback: effects on professional practice and healthcare outcomes. Cochrane Database Syst Rev 2012;(6):CD000259.
- Barrett A, Galvin R, Steinert Y, Scherpbier A, O'Shaughnessy A, Horgan M, et al. A BEME (Best Evidence in Medical Education) review of the use of workplace-based assessment in identifying and remediating underperformance among postgraduate medical trainees: BEME guide no. 43. Med Teach 2016;38(12):1188-98. Epub 2016 Sep 14.
- 12. Eva KW, Bordage G, Campbell C, Galbraith R, Ginsburg S, Holmboe E, et al. Towards a program of assessment for health professionals: from training into practice. Adv Health Sci Educ Theory Pract 2016;21(4):897-913. Epub 2015 Nov 21.
- 13. Lemieux-Charles L, Greengarten M. Performance management systems and the reality of Canadian healthcare organizations—from industrial to learning models. Healthc O 2014:17(3):5-7.
- 14. Jackson N, Jamieson A, Khan A, editors. Assessment in medical education and training: a practical guide. Oxford, UK: Radcliffe; 2007.
- Whitehead CR, Kuper A, Hodges B, Ellaway R. Conceptual and practical challenges in the assessment of physician competencies. Med Teach 2015;37(3):245-51.
- 16. Miller TP, Brennan TA, Milstein A. How can we make more progress in measuring physicians' performance to improve the value of care? Health Aff (Millwood) 2009;28(5):1429-37.
- 17. Hess BJ, Weng W, Lynn LA, Holmboe ES, Lipner RS. Setting a fair performance standard for physicians' quality of patient care. J Gen Intern Med 2010;26(5):467-73. Epub 2010 Nov 23.
- 18. Johnson MJ, May CR. Promoting professional behaviour change in healthcare: what interventions work, and why? A theory-led overview of systematic reviews. BMJ Open 2015:5(9):e008592.
- 19. Sargeant J, Macleod T, Sinclair D, Power M. How do physicians assess their family physician colleagues' performance? Creating a rubric to inform assessment and feedback. J Contin Educ Health Prof 2011;31(2):87-94.
- 20. Sargeant J, Bruce D, Campbell CM. Practicing physicians' needs for assessment and feedback as part of professional development. J Contin Educ Health Prof 2013;33(1):S54-62.

- 21. Morcke AM, Dornan T, Eika B, Outcome (competency) based education; an exploration of its origins, theoretical basis, and empirical evidence. Adv Health Sci Educ Theory Pract 2013;18(4):851-63. Epub 2012 Sep 18.
- 22. Diouf NT, Menear M, Robitaille H, Painchaud Guérard G, Légaré F. Training health professionals in shared decision making: update of an international environmental scan. Patient Educ Couns 2016;99(11):1753-8. Epub 2016 Jun 14.
- 23. Prasad V, Vandross A, Toomey C, Cheung M, Rho J, Quinn S, et al. A decade of reversal: an analysis of 146 contradicted medical practices. Mayo Clin Proc 2013:88(8):790-8. Epub 2013 Jul 18.
- 24. Grimshaw JM, Eccles MP, Lavis JN, Hill SJ, Squires JE. Knowledge translation of research findings. Implement Sci 2012;7:50-67.
- 25. O'Brien MA, Rogers S, Jamtvedt G, Oxman AD, Odgaard-Jensen J, Kristoffersen DT, et al. Educational outreach visits: effects on professional practice and health care outcomes. Cochrane Database Syst Rev 2007;(4):CD000409.
- 26. Arditi C, Rège-Walther M, Wyatt JC, Durieux P, Burnand B. Computer-generated reminders delivered on paper to healthcare professionals; effects on professional practice and health care outcomes. Cochrane Database Syst Rev 2012;(12):CD001175.
- 27. Giguère A, Légaré F, Grimshaw J, Turcotte S, Fiander M, Grudniewicz A, et al. Printed educational materials: effects on professional practice and healthcare outcomes. Cochrane Database Syst Rev 2012;(10):CD004398.
- 28. Eccles M, Grimshaw J, Walker A, Johnston M, Pitts N. Changing the behaviour of healthcare professionals: the use of theory in promoting the uptake of research findings. J Clin Epidemiol 2005;58(2):107-12.
- 29. Thomas A, Menon A, Boruff J, Rodriguez AM, Ahmed S. Applications of social constructivist learning theories in knowledge translation for healthcare professionals: a scoping review. Implement Sci 2014;9:54.
- 30. Davidoff F, Dixon-Woods M, Leviton L, Michie S. Demystifying theory and its use in improvement. BMJ Qual Saf 2015;24(3):228-38. Epub 2015 Jan 23.
- 31. Michie S, van Stralen MM, West R. The behaviour change wheel: a new method for characterising and designing behaviour change interventions. Implement Sci 2011;6:42.
- 32. French SD, Green SE, O'Connor DA, McKenzie JE, Francis JJ, Michie S, et al. Developing theory-informed behaviour change interventions to implement evidence into practice: a systematic approach using the Theoretical Domains Framework. Implement Sci 2012;7:38.
- 33. Field B, Booth A, Ilott I, Gerrish K. Using the knowledge to action framework in practice: a citation analysis and systematic review. Implement Sci 2014;9:172.

- 34. Nilsen P. Making sense of implementation theories, models and frameworks, Implement Sci 2015:10:53.
- 35. Curran JA, Grimshaw J, Hayden J, Campbell B. Knowledge translation research: the science of moving research into policy and practice. J Contin Educ Health Prof 2011:31(3):175-80.
- 36. Légaré F, Borduas F, MacLeod T, Sketris I, Campbell B, Jacques A. Partnerships for knowledge translation and exchange in the context of continuing professional development. J Contin Educ Health Prof 2011;31(3):181-7.
- 37. Pluye P, Grad R, Granikov V, Theriault G, Frémont P, Burnand B, et al. Feasibility of a knowledge translation CME program: Courriels Cochrane. J Contin Educ Health Prof 2012:32(2):134-41.
- 38, Légaré F. Freitas A. Thompson-Leduc P. Borduas F. Luconi F. Boucher A. et al. The majority of accredited continuing professional development activities do not target clinical behavior change. Acad Med 2015;90(2):197-202.
- 39. Sargeant J, Borduas F, Sales A, Klein D, Lynn B, Stenerson H. CPD and KT: models used and opportunities for synergy. J Contin Educ Health Prof 2011;31(3):167-73.
- 40. Graham ID, Logan J, Harrison MB, Straus SE, Tetroe J, Caswell W, et al. Lost in knowledge translation: time for a map? J Contin Educ Health Prof 2006;26(1):13-24.
- 41. Kitto S, Bell M, Peller J, Sargeant J, Etchells E, Reeves S, et al. Positioning continuing education; boundaries and intersections between the domains continuing education, knowledge translation, patient safety and quality improvement. Adv Health Sci Educ Theory Pract 2013;18(1):141-56. Epub 2011 Dec 14.
- 42. Kitto SC, Bell M, Goldman J, Peller J, Silver I, Sargeant J, et al. (Mis)perceptions of continuing education: insights from knowledge translation, quality improvement, and patient safety leaders. J Contin Educ Health Prof 2013;33(2):81-8.
- 43. Thomas DC, Berry A, Djuricich AM, Kitto S, Kreutzer KO, Van Hoof TJ, et al. What is implementation science and what forces are driving a change in medical education? Am J Med Qual 2017;32(4):438-44. Epub 2016 Aug 10.

This article has been peer reviewed. Can Fam Physician 2018;64:250-3

La traduction en français de cet article se trouve à www.cfp.ca dans la table des matières du numéro d'avril 2018 à la page e133.